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**PATENT**  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: David P. Gaio, et al. Date: September 26, 2001  
Serial No.: 09/885,683 Conf. No.: 6372  
Filed: June 20, 2001 Group Art Unit: 2633  
For: IMPROVED OPTICAL Examiner: Unknown  
SUBASSEMBLY FOR OPTICAL  
COMMUNICATIONS

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

**LETTER**

In response to the Notice To File Missing Parts of Application mailed 08/13/2001, enclosed herewith is a Declaration executed by the inventors, whose signatures did not appear on the Declaration as originally filed.

Authorization is hereby given to charge any fees, including fees under 37 CFR 1.16(e), to Deposit Account 09-0465. A duplicate copy of this letter is enclosed along with a copy of the Notice.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on

September 26, 2001  
(Date of Deposit)

Leslie J. Payne

Respectfully submitted,

By:

*Leslie J. Payne*  
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Docket No.: ROC920000148US1  
Serial No.: 09/885,683



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Page 1 of 1

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/885,683	06/20/2001	Mitchell Simmons Cohen	ROC920000148US1

CONFIRMATION NO. 6372

FORMALITIES LETTER



\*OC00000006418870\*

Laurence R. Letson  
P.O. Box 910567  
Lexington, KY 40591

Date Mailed: 08/13/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Mitchell Simmons Cohen, Glen Walden Johnson, Jeannine Madelyn Trewella*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*MN*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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